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| International Application Number:    |   |  |  |  |  |
| Confirmation Number:                 | 3927  |  |  |  |  |
| Title of Invention:                  | Securities market and market maker activity tracking system and method  |  |  |  |  |
| First Named Inventor/Applicant Name: | Stephen Cutler  |  |  |  |  |
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| Document<br>Number       | Document Description                             | File Name                                    | File Size(Bytes)<br>/Message Digest          | Multi<br>Part /.zip | Pages<br>(if appl.) |
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| _                        | 1 CU   | OUTODO 400 LODOOS LA IS                      | 267590                                       | yes                 | 77                  |
| 1                        |  | CUTSP0102USR02final.pdf                      | 802a0e31c6daa306c2aedccec324be54<br>d62084d2 |                     |                     |
|                          | Multipa  | rt Description/PDF files in                  | zip description                              |                     |                     |
|                          | Document Description                             |  | Start  | End                 |                     |
|                          | Amendment - After Non-Final Rejection            |  | 1  | 2                   |                     |
|                          | Specification                                    |  | 3  | 3                   |                     |
|                          | Claims   |  | 4  | 53                  |                     |
|                          | Applicant Arguments/Remarks Made in an Amendment |  | 54   | 77                  |                     |
| Warnings:                |  |  |  |                     |                     |
| Information:             |  |  |  |                     |                     |
| 2 Extension of Time      | CUTSP0102USR02Extensio<br>nofTime.pdf            | 57198  | no   | 1                   |                     |
|                          |  | 177eea932454cccae3f632154a3b9342<br>bbda1a98 |  |                     |                     |
| Warnings:                |  |  |  |                     |                     |
| Information:             |  |  |  |                     |                     |
| 3 Fee Worksheet (PTO-06) | fee-info.pdf                                     | 8164   | no   | 2                   |                     |
|                          |  | 271df912daf6b5f9edbdb9c8c9d62d090<br>da66731 | 110  |                     |                     |
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## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

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